HOLDING(S) IN COMPANY

Released : 12/07/19 11:43

RNS Number : 4049F Swallowfield PLC 12 July 2019

swallowfield

TR-1: Standard form for notification of major holdings

-	suer or the underlyi which voting rights a	-	S	Swallowfield plc	
1b. Please indicate	if the issuer is a nor	n-UK issuer (please	mark with an "X" if app	propriate)	
Non-UK issuer					
2. Reason for the ne	otification (please ma	ark the appropriate bo	ox or boxes with an "X"	")	
An acquisition or disp	osal of voting rights				Х
An acquisition or disp	osal of financial instrur	ments			
An event changing the	e breakdown of voting	rights			
Other (please specify) ⁱⁱⁱ :				
3. Details of person	subject to the notifi	ication obligation ^{iv}			
Name			BGF Investment Mar (a/c BGF Investmen	nagement Limited ts LP)	
City and country of registered office (if applicable)		London, England			
4. Full name of share	reholder(s) (if differen	nt from 3.) ^v			
Name			Pershing Securities (via Pershing Nomin		
City and country of re	gistered office (if appli	cable)	Liverpool, England		
5. Date on which th	e threshold was cro	ssed or reached ^{vi} :	10/07/2019		
6. Date on which is	suer notified (DD/MN	M/YYYY):	11/07/2019		
7. Total positions of	f person(s) subject t	o the notification of	oligation		
	% of voting rights attached to shares (total of 8. A)	instru	s through financial iments B 1 + 8.B 2)	Total of both in % (8.A + 8.B)	Total number of voting rights of issuer ^{vii}
Resulting situation on the date on which threshold was crossed or reached	9.140%		0	9.140%	17,135,542
Position of previous notification (if applicable)	5.083%		0	5.083%	17,135,542

A: Voting rights attached to shares				
Class/type of	Number of voting rights ^{ix}		% of voting rights	
shares ISIN code (if possible)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)
Ordinary GB0008667304	-	1,566,250	-	9.140%
SUBTOTAL 8. A	1,	566,250	9.140)%

B 1: Financial In	3 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))			a))
Type of financial instrument	Expiration date ^x	Exercise/ Conversion Period ^{xi}	Number of voting rights that may be acquired if the instrument is exercised/converted.	% of voting rights
	-	SUBTOTAL 8. B 1		

B 2: Financial In (DTR5.3.1.1 (b))		rith similar economic effe	ect according to Art. 13(1)(b) of Directive	e 2004/109/EC
Type of financial instrument	Expiration date ^x	Exercise/ Conversion Period ^{Xi}	Physical or cash settlement ^{xii}	Number of voting rights	% of voting rights
			SUBTOTAL 8.B.2		

•	•	, , , ,	entity and does not control any other	
undertaking(s) holding direct	tly or indirectly an interest in the	(underlying) issuer ^{xiii}		
Full chain of controlled under	rtakings through which the votin	g rights and/or the		
financial instruments are efferences as necessary)	ectively held starting with the ult	imate controlling natural persor	or legal entity ^{XiV} (please add additional	Х
Name ^{xv}	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is highe the notifiable threshold	r thai
BGF Group plc	9.140%	-	9.140%	
	9.140%	-	9.140%	
BGF Investment Management Limited				

	-

10. In case of proxy voting, please identify:	
Name of the proxy holder	NA
The number and % of voting rights held	N/A
The date until which the voting rights will be held	N/A

11. Additional information^{xvi}

Place of completion	13-15 York Buildings, London, WC2N 6JU
Date of completion	11/07/2019

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