HOLDING(S) IN COMPANY

Released : 20/03/19 15:17

swallowfield

RNS Number : 4873T Swallowfield PLC 20 March 2019

TR-1: Standard form for notification of major holdings

NOTIFICATION OF MAJOR HOLDINGS (to be sent to the relevant issuer <u>and</u> to the FCA in Microsoft Word format if possible)ⁱ

1a. Identity of the issuer or the underlying issuer of existing shares to which voting rights are attached ⁱⁱ :			Swallowfield plc		
1b. Please indicate	if the issuer is a nor	n-UK issuer (please	mark with an "X" if ap	propriate)	
Non-UK issuer					
2. Reason for the n	otification (please ma	ark the appropriate bo	ox or boxes with an "X	")	
An acquisition or disposal of voting rights					X
An acquisition or disp	osal of financial instru	ments			
An event changing th	e breakdown of voting	rights			
Other (please specify	/) ⁱⁱⁱ :				
3. Details of persor	n subject to the notif	ication obligation ^{iv}			I
Name			Gresham House As	set Management Limite	ed
City and country of registered office (if applicable)			London, UK		
4. Full name of sha	reholder(s) (if differer	nt from 3.) ^v			
Name			Gresham House Strategic plc		
City and country of registered office (if applicable)			London, UK		
5. Date on which th	e threshold was cro	ssed or reached ^{vi} :	15/03/2019		
6. Date on which issuer notified (DD/MM/YYYY):			19/03/2019		
7. Total positions o	f person(s) subject t	to the notification of	bligation		
	% of voting rights attached to shares (total of 8. A)	instru	s through financial uments B 1 + 8.B 2)	Total of both in % (8.A + 8.B)	Total number of voting rights of issuer ^{vii}
Resulting situation on the date on which threshold was	3.41%	N/A		3.41%	17,135,542

crossed or reached		
Position of previous notification (if applicable)		

A: Voting rights a	attached to shares			
Class/type of	Number of voting rights ^b	(% of voting rights	
shares ISIN code (if possible)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)	Direct (Art 9 of Directive 2004/109/EC) (DTR5.1)	Indirect (Art 10 of Directive 2004/109/EC) (DTR5.2.1)
Ordinary shares of 5 pence each / GB0008667304		585,000		3.41%
SUBTOTAL 8. A	ę	585,000	3.41	%

B 1: Financial Instruments according to Art. 13(1)(a) of Directive 2004/109/EC (DTR5.3.1.1 (a))				
Type of financial instrument	Expiration date ^x	Exercise/ Conversion Period ^{xi}	Number of voting rights that may be acquired if the instrument is exercised/converted.	% of voting rights
		SUBTOTAL 8. B 1		

Type of financial instrument	Expiration date ^x	Exercise/ Conversion Period ^{xi}	Physical or cash settlement ^{xii}	Number of voting rights	% of voting rights
	<u> </u>	1	SUBTOTAL 8.B.2		

9. Information in relation applicable box with an "X")		the notification obligation	n (please mark the	
Person subject to the notification	tion obligation is not controlled	by any natural person or legal	entity and does not control any other	
undertaking(s) holding directly	y or indirectly an interest in the	(underlying) issuer ^{xiii}		
Full chain of controlled under	takings through which the voting	g rights and/or the		
financial instruments are effect rows as necessary)	ctively held starting with the ulti	mate controlling natural persor	or legal entity ^{XiV} (please add additional	Х
Name ^{xv}	% of voting rights if it equals or is higher than the notifiable threshold	% of voting rights through financial instruments if it equals or is higher than the notifiable threshold	Total of both if it equals or is highe the notifiable threshold	r than
Gresham House Strategic plc	3.41%		3.41%	

10. In case of proxy voting, please identify:	
Name of the proxy holder	
The number and % of voting rights held	
The date until which the voting rights will be held	

11. Additional information^{xvi}

Place of completion	London, UK
Date of completion	19/03/2019

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